



Decision on the maximum fine at the adjudication stage

The CAA Global Limited Board (“the Board”) oversee the monitoring of the CAA Global Limited Disciplinary Scheme (“the Scheme”).

Rule 9.3 of the Scheme states that Board shall decide the maximum fine which an Adjudication Panel may invite a Respondent to pay under rule 4.5(b) of the Scheme. This means the aggregate total, if more than one fine is imposed.

Before issuing the guidelines the Board has considered the practice of other professional regulatory bodies and the courts.

For the purpose of rule 9.3 of the Scheme, the Board has decided that the maximum fine which an Adjudication Panel may invite a Respondent to pay under rule 4.5(b) is £15000 (in aggregate if more than one fine is offered).

The Board will keep this decision under review every three years.

May 2017